



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WALKER et al.

Serial No.: 09/609,598

Filing Date: June 29, 2000

For: SYSTEMS AND METHODS WHEREIN

A BUYER PURCHASES PRODUCTS IN

A PLURALITY OF PRODUCT

**CATEGORIES** 

Examiner: Maria T. Thein

Group Art Unit: 3625

Attorney Docket No: 00-023

Customer No.: 22927

22027

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#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as priority mail in an envelope with sufficient postage and addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 pp. December 9, 2002.

Dated: 12/09/02 By

Michael D. Brinton

Assistant Commissioner for Patents Washington, D.C. 20231

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

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Application Serial No.: 09/609,598 Attorney Docket No.: 00-023

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

1. [ ] Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request. For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the 2. [ ] English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): 3. [ ] For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): 4. [ ] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with: 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-[ ] identified application. 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national

stage as set forth in §1.491.

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[]	37 C.F.R.	§1.97(b)(3),	before the	mailing	date of a	first O	ffice A	ction on	the
	merits.								

- 5. [ ] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
- 6. [X] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.
- 7. [ ] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:
  - a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
  - b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
  - c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.



	8. [ ]	t is being filed in compliance with:					
		] 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;					
		] 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.					
9	9. [ ]	I hereby certify:					
		] that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.					
		] that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.					
10	10. [X]	Please accept payment of the fees due as indicated below:					
		A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).					

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- [X] The Commissioner is hereby authorized to charge \$180.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p).
- 11. [X] The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.
- 12. [ ] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with a Request for Continued Examination.

Respectfully submitted,

<u>December 9, 2002</u>

Date

Magdalena M. Fincham Agent for Applicants

Registration No. 46,085

Mfincham@WalkerDigital.com

Five High Ridge Park Stamford, CT 06905

(203) 461-7041/phone

(203) 461-7300/fax



Application No. 09/609,598 Attorney Docket No.: 00-023

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The Application of: WALKER et al.

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CATEGORIES

Assistant Commissioner for Patents Washington, D.C. 20231

Examiner: Maria T. Thein

Group Art Unit: 3625

Attorney Docket No: 00-023

Customer No.: 22927

Notification of Fee Status Change Pursuant

to 37 CFR § 1.27



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### ASSERTION OF SMALL ENTITY STATUS

Dear Sir:

The assignee of the above-identified application claims small entity status. This notification is made pursuant to 37 CFR §1.27.

If the Examiner has any questions about this status change, the Examiner is invited to contact the undersigned at (203) 461-7041. While no fees are believed due in connection with this paper, please charge any fees that may be required for this paper, or with any other papers filed in connection with this application to Deposit Account No. 50-0271.

Respectfully submitted,

December 9, 2002

Date

Magdalena M. Fincham Agent for Applicants

PTO Registration No. 46,085

(203) 461-7041/direct (203) 461-7300/fax

Mfincham@walkerdigital.com

1 2 2002

# TRANSMITTAL LETTER (General - Patent Pending)

Docket No. 00-023

In Re Application Of: WALKER et al.

RADEMARY

Serial No. 09/609,598 Filing Date

Examiner

**Group Art Unit** 

June 29, 2000

Maria T. Thein

3625

Title: SYSTEMS AND METHODS WHEREIN A BUYER PURCHASES PRODUCTS IN A PLURALITY OF **CATEGORIES** 

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Supplemental Information Disclosure Statement (5 pp.); PTO Form-1449 (2 pp.) and references cited therein (17); Assertion of Small Entity Status (1 pg.); and Return Receipt Postcard.

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in the above identified application.

No additional fee is required.

☐ A check in the amount of

is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed. \$180.00

50-0271

Charge the amount of

 $\boxtimes$ Credit any overpayment.

 $\boxtimes$ Charge any additional fee required.

Dated: December 9, 2002

Magdalena M. Fincham **Agent for Applicants** PTO Registration No. 46,085 (203) 461-7041/phone (203) 461-7300/fax

Customer No. 22927

I certify that this document and fee is being deposited oDecember 9, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence